# This Page Is Inserted by IFW Operations and is not a part of the Official Record

# **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

# IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

#### PCT

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7: (11) International Publication Number: WO 00/62774 A61K 31/352, A61P 1/16, 3/06, 7/02, A1 9/00, 5/50, 3/10 (43) International Publication Date: 26 October 2000 (26.10.00) (21) International Application Number: PCT/US00/10543 (81) Designated States: AU, CA, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, 20 April 2000 (20.04.00) (22) International Filing Date: NL, PT, SE). (30) Priority Data: **Published** 60/130,156 20 April 1999 (20.04.99) US With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of (71) Applicant: BOARD OF TRUSTEES, SOUTHERN ILLINOIS amendments. UNIVERSITY [US/US]; 900 S. Normal Street, Carbondale, IL 62901-4709 (US). (71)(72) Applicants and Inventors: BANZ, William, J. [US/US]; 463 Tannis Lane, Creal Springs, IL 62922 (US). PELUSO, Michael, R. [US/US]; 2110 Camp Cedar Point Lane, Makanda, IL 62958 (US). WINTERS, Todd, A. [US/US]; 43 Brian Avenue, Murphysboro, IL 62966 (US). SHANA-HAN, Michael, F. [US/US]; 192 Amber Lane, Carbondale, IL 62901 (US). (74) Agents: LUDWIG, Steven, R. et al.; Sterne, Kessler, Goldstein & Fox P.L.L.C., Suite 600, 1100 New York Avenue N.W., Washington, DC 20005-3934 (US).

#### (54) Title: METHODS OF TREATING CLINICAL DISEASES WITH ISOFLAVONES

#### (57) Abstract

The present invention provides a method of treating or preventing certain medical conditions with isoflavones. More specifically, the invention provides a method of treating or preventing one or more of the following medical conditions: hepatic steatosis, steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, abnormal platelet function, or abnormal vascular reactivity, with compositions containing isoflavones.

### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
ΑT	Austria	FR	France	LU	Luxembourg	SN	Senegal
ΑÜ	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
ΑZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	ŢJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	ÜA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	zw	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand	2,,,	Zunozowe
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	R	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

# Methods of Treating Clinical Diseases with Isoflavones

### Background of the Invention

#### Field of the Invention

The present invention relates, in general, to a method for treating or preventing certain medical clinical conditions with isoflavones. In particular, the present invention relates to a method of treating or preventing one or more of the following medical conditions: hepatic steatosis, steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, abnormal platelet function, or abnormal vascular reactivity, with compositions containing isoflavones.

#### Related Art

15

20

25

10

5

÷,

Dietary soy protein has been shown to be hypocholesterolemic in human and animal studies (Carroll, K. K. and Kurowska, *E.M., J. Nutr. 125*:594S-597S (1995)). In humans, the cholesterol-lowering effect has been observed primarily in persons that are hypercholesterolemic prior to dietary intervention (Anderson, J.W., *et al.*, *N. Engl. J. Med. 333*:276-282 (1995)). Soy protein diets have been particularly beneficial in the treatment of type II hyperlipoproteinernia (Sirtori, C. R., *et al.*, *Lancet 1*:275-277 (1977); Sirtori, C. R., *et al.*, *J. Nutr. 125*:598S-605S (1995)), which is characterized by elevated plasma LDL cholesterol (type IIa) or plasma LDL and VLDL cholesterol and triglyceride (type IIb). Hyperlipidemia is associated with the development of atherosclerosis, cardiovascular disease (CVD), and non-insulin dependent diabetes mellitus (NIDDM) (Despres, J.-P., *et al.*, *Arteriosclerosis 10*:497-511 (1990)). Therefore, a hypocholesterolemic effect of soy protein can lower CVD and NIDDM risk.

30

Hypotheses have been proposed for mechanisms responsible for the cholesterol-lowering effect of soy protein (Anthony, M.S., et al., Am. J. Clin. Nutr. 68:139OS-1393S (1998); Potter, S. M., Nutr. Rev. 56:231-235 (1998); Sirtori, C. R., et al., Nutr. Metab. Cardiovasc. Dis. 8:334-340 (1998)). The soy

.

protein amino acid composition, specific soy peptides and globulins, and the isoflavones and saponins associated with soy protein all have been suggested as factors participating in the hypocholesterolemic response. The liver centrally regulates whole-body cholesterol excretion through the production and secretion of bile. Therefore, a mechanism responsible for the hypocholesterolemic effect of soy protein likely includes normalization of aberrant hepatic cholesterol and bile acid metabolism. The liver also centrally regulates plasma cholesterol and triglyceride concentrations through production, secretion, and catabolism of apolipoprotein B (apoB). Furthermore, visceral obesity and elevated portal-hepatic free fatty acid flux induces hepatic steatosis and elevates the production of triglyceride-rich apoB-lipoproteins (Despres, J.-P., et al., Arteriosclerosis 10:497-511 (1990)). Therefore, a mechanism responsible for the hypocholesterolemic effect of soy protein can also include normalization of aberrant hepatic fatty acid and triglyceride metabolism.

15

20

10

5

Obese (fa/fa) Zucker rats are hyperinsulinemic, hyperlipoproteinemic, and develop hepatic steatosis within a few weeks after birth (Krief, S. and Bazin, R., Proc. Soc. Exp. Biol. Med. 198:528-538 (1991)). This rat can be used as a model system for symptoms associated with the development of CVD and NIDDM (St. John, L. C. and Bell, F. P., Atherosclerosis 86:139-144 (1991); Kasiske, B.L., et al., Hypertension (Suppl. 1) 19:1110-1115 (1992)). Markedly elevated pancreatic insulin secretion suppresses hepatic fatty acid catabolism and stimulates hepatic lipogenesis and fatty acid esterification. Elevated triglyceride and cholesteryl ester availability up-regulates secretion of apoB-lipoproteins and induces lipid storage in cytosolic droplets (Fukuda, N., et al., J. Biol. Chem. 257:14066-14072 (1982)). Furthermore, there is an absence of the feeding-induced diurnal riseand-fall of hepatic cholesterogenesis in adult male obese rats (Lin, R.C., Metabolism 34:19-24 (1985)), and fecal neutral sterols are 50% lower in obese rats than in lean rats (McNamara, D.J., Metabolism 34:130-135 (1985)). Expression of the hepatic LDL receptor is 60% lower in obese rats than in lean rats, without a difference in LDL receptor mRNA (Liao, W., et al.,

25

٥,

5

10

15

20

25

30

Endocrinology 138:3276-3282 (1997)). The diurnal rhythm of hepatic cholesterol 7α-hydroxylase has also been shown to be absent in obese rats (Tang, P.M., et al., Biochem. Biophys. Res. Commun. 150:853-858 (1988)).

Blood platelets also play an integral role in the development of CVD (Ross, R., N. Engl. J. Med. 314:488-500 (1986)). Arterial cholesterol deposition and blood platelet sensitivity are elevated by plasma LDL and lowered by plasma HDL (Miller, N. E., et al., Clin. Res. 282:1741-1744 (1981), Surya, I. I. and Akkerman, W. N., Heart J. 125:272-275 (1993)). The variation in platelet sensitivity found among species has been shown to correlate directly with susceptibility of the species to CVD (Hayes, K.C. and Pronczuk, A., Comp. Biochem. Physiol. 11313:349-353 (1996)). Furthermore, the plasma LDL cholesterol-to-HDL cholesterol ratio has been found to vary directly with platelet sensitivity both across and within species. Platelet activation is accompanied by release of compounds from intraplatelet granules that promote atherosclerotic lesion formation (Ross, R., et al., Circulation (Suppl III) 70:77-82 (1984)). For example, platelet-derived growth factor stimulates vascular smooth muscle cell migration and proliferation in the arterial intima. Activated platelets also release 5-hydroxytryptamine (5HT), commonly known as serotonin, which plays a role in the pathophysiology of essential hypertension (Nityanand, S., et al., Life Sci. 46:367-372 (1990)). Dietary soy protein rich in isoflavones has been shown to reduce atherosclerotic lesion development in male cynomolgus monkeys fed an atherogenic diet (Anthony, M.S., et al., Arterioscler. Thromb. Vasc. Biol. 17:2524-2531 (1997)). An inhibitory effect of isoflavone-rich soy protein on platelet aggregability has been reported in female rhesus monkeys (Williams, J. K. and Clarkson, T. B., Coronary Artery Dis. 9:759-764 (1998)). These effects of isoflavone-rich soy protein can result in part from a reduction in the plasma LDL cholesterol-to-HDL cholesterol ratio. Another study has shown rapid inhibition of vasoconstriction in stenotic arteries of female macaques after intravenous infusion of the soy isoflavone genistein (Honore, E. K., et al., Fertil. Steril. 67:148-154 (1997)). Improved systemic arterial compliance has also been

.

shown after dietary isoflavone supplementation in menopausal women (Nestel, P. J., et al., Axterioscler. Thromb. Vase. Biol. 17:3392-3398 (1997)).

#### Summary of the Invention

5

The present invention provides a method of preventing or treating the symptoms of one or more of the following clinical medical conditions: hepatic steatosis, steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, abnormal platelet function, or abnormal vascular reactivity. This method comprises administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid.

10

The present invention further provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid in combination with soy protein.

15

The present invention also provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid in combination with dietary ingredients or supplements other than soy protein.

20

The present invention further provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid which is contained in an isoflavone-enriched fraction of soy protein.

25

The present invention also provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid which

٠,

5

10

15

20

25

30

is contained in an isoflavone-enriched fraction of soy protein in combination with soy protein.

The present invention further provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid which is contained in an isoflavone-enriched fraction of soy protein in combination with dietary ingredients or supplements other than soy protein.

Further objects, advantages, and novel features of the present invention will become apparent from the following detailed description of the invention.

# Brief Description of the Figures

Figure 1A-F show a series of bar graphs depicting liver cholesterol and triglyceride concentrations in male lean and obese Zucker rats fed casein (C), isoflavone-poor soy protein (LS), or isoflavone-rich (HS) soy protein-based diets for 70 days.

Figure 2 shows a bar graph depicting the plasma total cholesterol concentration in male lean and obese Zucker rats fed casein, isoflavone-poor soy protein (LS), or isoflavone-rich (HS) soy protein-based diets for 70 days.

Figure 3A-B shows two bar graphs depicting serotonin (5HT) secretion from unstimulated and thrombin-stimulated platelets isolated from male lean and obese Zucker rats fed casein, isoflavone-poor soy protein (LS), or isoflavone-rich (HS) soy protein-based diets for 70 days.

Figure 4A-B shows two bar graphs depicting serotonin (5HT) secretion from unstimulated and thrombin-stimulated platelets isolated from male Sprague-Dawley rats fed casein, isoflavone-poor soy protein (LS), or isoflavone-rich (HS) soy protein-based diets for 42 days.

Figure 5A-B shows two bar graphs depicting serotonin (5HT) secretion from unstimulated and thrombin-stimulated platelets isolated from male

10

15

20

25

Sprague-Dawley rats fed control or atherogenic diets with or without soy isoflavones for 63 days.

Figure 6 is a bar graph depicting plasma total cholesterol concentration in male Sprague-Dawley rats injected with vehicle control, genistein, daidzein, or estradiol for 6 weeks.

Figure 7 is a bar graph depicting plasma fasting glucose levels in male Sprague-Dawley rats injected with vehicle control, genistein, daidzein, or estradiol for 6 weeks.

Figure 8 is a bar graph depicting liver cellular antioxidant levels of superoxide dimutase (SOD) and catalase (CAT) in male Sprague-Dawley rats injected with vehicle control, genistein, daidzein, or estradiol for 6 weeks.

Figure 9 is a bar graph depicting insulin to glucose ratio in male and female Sprague-Dawley rats fed high-isoflavone soy protein (HS), low-isoflavone soy protein (LS), or non-soy (casein) protein (C) for 6 weeks.

Figure 10 is a graph depicting glucose tolerance test (GTT) in female obese Zucker rats.

Figure 11 is a bar graph depicting liver weight in female obese Zucker rats.

Figure 12 is a bar graph depicting liver cholesterol in female obese Zucker rats.

Figure 13 is a bar graph depicting liver triglycerides in female obese Zucker rats.

Figure 14 is a bar graph depicting plasma total cholesterol in female obese Zucker rats.

WO 00/62774 PCT/US00/10543

٠.

5

10

15

20

25

30

-7-

#### Detailed Description of the Preferred Embodiments

In the following description, reference will be made to various terms and methodologies known to those of skill in the biochemical and pharmacological arts. Publications and other materials setting forth such known terms and methodologies are incorporated herein by reference in their entireties as though set forth in full.

The present invention relates to a method of preventing or treating the symptoms of one or more of the following clinical medical conditions: hepatic steatosis, steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, abnormal platelet function, or abnormal vascular reactivity. This method comprises administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid.

In a preferred embodiment the present invention provides a method for preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid in combination with soy protein.

In another embodiment the present invention provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid in combination with dietary ingredients or supplements other than soy protein.

In another embodiment the present invention provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid which is contained in an isoflavone-enriched fraction of soy protein.

10

15

20

25

30

In another preferred embodiment the present invention provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid which is contained in an isoflavone-enriched fraction of soy protein in combination with soy protein.

In another embodiment the present invention provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavonoid which is contained in an isoflavone-enriched fraction of soy protein in combination with dietary ingredients or supplements other than soy protein.

The following definitions and descriptions are provided to clarify the subject matter which the inventors consider to be the present invention.

As used herein, the term "hepatic steatosis" refers to lipid accumulation in hepatocyte cytoplasm.

As used herein, the term "steatohepatitis" refers to an inflammatory condition of the liver in which the hepatocytes demonstrate steatosis.

As used herein, the term "insulin resistance" refers to a condition in which the pancreas produces sufficient amounts of the hormone, but cells become desensitized to insulin's action and absorb it more slowly than normal, causing sugar (glucose) and insulin to accumulate in the blood.

As used herein, the term "Syndrome X" refers to a medical clinical condition which is characterized centralized obesity, high blood pressure, insulin resistance, increased LDL-cholesterol, decreased HDL-cholesterol, and elevated plasma insulin.

Isoflavones are a unique class of plant flavonoids that have a limited distribution in the plant kingdom and can be physically described as colorless, crystalline ketones. The most common isoflavone compounds are the conjugate

ς:

5

10

15

20

25

PCT/US00/10543

, glucoside, and aglucone forms. The most common and important dietary source of these isoflavones are soybeans. In the present invention, the isoflavones are preferably selected from the following group: genistein, genistin, 6"-0-malonylgenistin, 6"-0-acetylgenistin; daidzein, daidzein, daidzin, 6"-0-malonyldaidzin, 6"-0-acetylgenistin; glycitein, glycitin, 6"-0-malonylglycitin, 6"-0-acetylglycitin. Ninety-seven to ninety-eight percent of the soybean isoflavones are in the glycosylated form.

As used herein, the term "soy protein" refers either to "soy protein isolate" or "soy protein concentrate".

As used herein, the term "soy protein isolate" is equivalent to "isolated soy protein" and the same as "high-isoflavone soy protein" or "isoflavone-rich soy protein". This is soy protein that is isolated from soybeans by procedures that favor the adsorption of isoflavones (and other soybean constituents such as saponins) to the globular soybean proteins during the isolation process. This is one of the two types of soybean protein preparations used herein and referred to as a component of the "HS diet" or "HI diet", which is defined as the "high-isoflavone soy protein diet".

As used herein, the term "soy protein concentrate" refers to soy protein that is isolated from soybeans without accompanying isoflavones. "Soy protein concentrate" tends to be lower in total protein concentration than soy protein isolate.

As used herein, the term "low-isoflavone soy protein" is equivalent to "alcohol-washed soy protein" or "isoflavone depleted soy protein. The starting material is soy protein isolate. The soy protein isolate is then washed typically with an aqueous-ethanol mixture to remove adsorbed material, which includes isoflavones, saponins, and possibly other unidentified components of biological significance. This is the second of the two types of soy protein preparations used herein and referred to as a component of the "LS diet" or "LI diet", which is defined as the "low isoflavone soy protein diet".

10

15

20

25

30

As used herein, the term "isoflavone-enriched fraction of soy protein" consists of material that is removed from soy protein by washing the protein as described above. The isoflavones in the aqueous-ethanol extract are further purified to obtain a higher isoflavone concentration. This product can contain saponins and other biologically active material.

In one embodiment, the overall therapeutic benefit of high-isoflavone soy protein to reduce hepatic steatosis, insulin resistance, and platelet sensitivity is dependent on the protein component or another dietary ingredient(s) or supplement(s) other than soy protein that "mimics" the physiological action of soy protein in the intestinal tract to modulate cholesterol and bile acid absorption.

Various naturally-occurring and synthetic dietary ingredients and compounds have been shown to modulate intestinal lipid digestion and absorption. For example, the intestinal bile acid-binding resin, cholestyramine, potentiates the effects of soy isoflavones in the liver to produce therapeutic benefits. Methyl- $\beta$ -cyclodextrin or hydroxypropyl- $\beta$ -cyclodextrin which can form inclusion complexes with cholesterol and bile acids in the intestine, can be used in combination with isoflavones. Another possible embodiment is to use a dietary fiber source rich in silica polymers such as soy, rice, or oat hulls to modulate intestinal steroid homeostasis. Cooperativity between the liver and the intestinal tract is also achieved through combination of soy isoflavones or the isoflavone-enriched fraction of soy protein with classical sources of soluble dietary fiber (including pectin,  $\beta$ -glucan, oat bran, rice bran, psyllium fiber, and any other unspecified soluble cereal, vegetable, or fruit fiber preparations).

In another embodiment, fermentable soluble dietary fiber affects isoflavone bioavailability. Most isoflavones are present in soybeans as glycosylated isoflavone conjugates, and specific fiber types select for intestinal bacteria that produce enzymes required to produce the biologically-active aglycone forms.

The use of isoflavones, either singly or in combination with other soy proteins, dietary ingredients, or supplements to treat or prevent hepatic steatosis,

steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, abnormal platelet function, or abnormal vascular reactivity provides distinct advantages over currently administered pharmaceutical therapies. First, the present invention is likely to minimize the drug-related side effects that typically lead to non-compliance or untimely termination of treatment. Second, the use of naturally-occurring isoflavones overcomes some of the long term health concerns associated with current therapies which, in turn, increases the likelihood that individuals will remain on extended therapeutic regimens for sustained prevention of recurrent disease.

10

5

√;

The term "therapeutically effective dosage" as used in the present invention is defined as the dosage which provides effective treatment or prevention of the above described conditions and/or diseases in both humans and animals.

15

In the treatment and prevention of hepatic steatosis, steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, abnormal platelet function, or abnormal vascular reactivity, isoflavones, are administered in therapeutically effective dosages to subjects (humans or animals) diagnosed with one or more of the aforementioned clinical medical conditions or experiencing symptoms typically associated with them. The preventive aspect of the present invention involves administering therapeutically effective dosages of isoflavones to subjects at risk of developing one or more of the aforementioned clinical medical conditions. Other groups of subjects that are susceptible to the risk of developing will be apparent to those skilled in the art.

20

The metabolic products of the isoflavones contemplated for use in the present invention include equal and conjugates of genistein, daidzein, and other isoflavones. It is further contemplated that any derivative of other phytoestrogens including coumestans and lignans or conjugates thereof can be used in treating or preventing the conditions and/or diseases described hereinabove.

25

30

The isoflavone compounds can be naturally occurring substances which can be found in plants such as legumes, clover, and the root of the kudzu vine

10

15

20

25

:-

(pueraria root). Common legume sources of these isoflavone compounds include soy beans, chick peas, and various other types of beans and peas. Clover sources of these isoflavone compounds include red clover and subterranean clover. Soy beans are a particularly preferred source of the isoflavone compounds (except biochanin A which is not present in soy).

Isoflavones can be isolated from the plant sources in which they naturally occur, and several isoflavones can be synthetically prepared by processes known in the art. For example, daidzein can be isolated from red clover as disclosed by Wong J. Sci. Food Agr. 13:304 (1962)) or can be isolated from the mold Micromonospora halophytica as provided by Ganguly and Sarre (Chem. & Ind (London), p. 201 (1970)), both references of which are incorporated by reference herein. Daidzein can be synthetically prepared by the methods provided by Baker et al., (J. Chem. Soc. p. 274 (1933)), Wesley et al., Ber. 66: 685 (1933)), Mahal et al., J. Chem. Soc., p. 1769 (1934)), Baker et al., J. Chem. Soc., p. 1852 (1953)), or Farkas Ber. 90:2940 (1957)), each reference of which is incorporated herein by reference. Daidzin can be synthetically prepared by the method of Farkas et al. Ber., 92: 819 (1959)), incorporated herein by reference. The daidzein isoflavone conjugates 6'-OMal daidzin and 6'-OAc daidzin can be prepared by a conventional esterification of daidzin with a malonyl or an acetyl anhydride, respectively.

et al (J. Chem. Soc., p. 3115 (1928)); Narasimhachari et al. (J. Sci. Ind. Res., Vol. 12, p. 287 (1953)); Yoder et al., (Proc. Iowa Acad. Sci., Vol. 61, p. 271 (1954); and Zemplen et al. (Acta. Chim. Acad. Sci. Hung., Vol. 19, p. 277 (1959)), each reference of which is incorporated herein by reference. Genistin can be synthetically prepared by the method of Zemplen et al. (Ber., Vol 76B, p. 1110 (1943)), incorporated herein by reference. The isoflavone conjugates of genistin, 6'-OMal genistin and 6'-OAc genistin, can be prepared by a conventional esterification of genistin with a malonyl or an acetyl anhydride, respectively.

ς;

Biochanin A can be synthetically prepared by the method provided by Baker et al. (Nature 169:706 (1952)), incorporated herein by reference. Biochanin A can also be separated from red clover by the method provided by Pope et al. (Chem. & Ind. (London) p. 1092 (1953)), incorporated herein by reference. Fornonnetin can be synthetically prepared by the methods disclosed by Wessely et al. (Ber. 66:685 (1933)) and Kagel et al. (Tetrahedron Letters, p. 593 (1962)), both references of which are incorporated herein by reference. Fornonnetin can be isolated from soybean meal by the method of Walz (Ann. 489:118 (1931)) or can be isolated from clover species by the method of Bradbury et al. (J. Chem. Soc. p. 3447 (1951)), both references of which are incorporated herein by reference.

A preferred method of isolating isoflavones from plant materials in which they naturally occur is to extract the plant materials with an alcohol, preferably methanol or ethanol, or an aqueous solution, preferably an aqueous alkaline solution, to remove the isoflavones from the plant material. It is preferred to comminute the plant material before extracting the isoflavones to maximize recovery of the isoflavone compounds from the plant material. The isoflavones can be isolated from the extract by conventional separation procedures such as reverse phase high performance liquid chromatography ("HPLC").

In a preferred embodiment, the isoflavones: genistein, genistin, 6'-OMal genistin, 6'-OAc genistin, daidzein, daidzin, 6'-OMal daidzin, 6'-OAc daidzin, glycitein, glycitein, and 6'-OMal glycitin are isolated from a soy material, preferably a commercially available soy material. Soy materials from which the isoflavones can be isolated include: soy beans, dehulled soy beans, soy meal, soy flour, soy grits, soy flakes (full fat and defatted), soy cotyldeons, soy molasses, soy protein concentrate, soy whey, soy whey protein, and soy protein isolate. In one embodiment, the isoflavones are extracted from soy beans, dehulled soy beans, soy meal, soy flour, soy grits, soy flakes, soy protein concentrate, soy whey protein, or soy protein isolate, preferably soy meal, soy flour, soy grits, or soy flakes, with a low molecular weight organic extractant, preferably an alcohol,

15

5

10

20

25

ethyl acetate, acetone, or ether, and most preferably aqueous ethyl alcohol or methyl alcohol. Most preferably the extractant has a pH of about the isoelectric point of soy protein (about pH 4 to pH 5) to minimize the amount of soy protein extracted by the extractant.

A soy protein material for use in accordance with the method of the

5

10

present invention is a whole soybean seed, or soy protein derivatives that can be formed from whole soybeans. Soy protein derivatives of whole soybeans include fat-containing or defatted: soy protein isolates, soy protein concentrates, soy flours, and soy meals which are formed in accordance with conventional methods for forming such materials. Soy protein derivatives of whole soybeans also include peptide materials which are formed by hydrolyzing soy protein containing materials in accordance with conventional methods for hydrolyzing

soy protein materials, such as enzymatic or acid hydrolysis.

15

In a preferred embodiment, the soy protein material used in the method of the invention is a soy protein isolate. To form the isoflavone containing soy protein isolate, a commercially available defatted soy flake material is extracted with an aqueous alkaline solution, typically a calcium hydroxide or a sodium hydroxide solution having a pH of about 6 to about 10, to form an extract containing isoflavones, protein, and other water soluble components of the soy flake material. The extract is separated from insoluble soy materials and then is treated with an acid to lower the pH of the extract to about the isoelectric point of the protein, preferably to a pH of about 4 to about 5, and most preferably to a pH of about 4.4 to about 4.6, thereby precipitating a protein curd which captures significant amounts of the isoflavones as a result of hydrogen bonding between the protein and the isoflavones. Preferably the conjugate and glucoside isoflavones are converted to aglucone isoflavones in the extract as described above to increase the amount of aglucone isoflavones captured in the protein curd. The protein curd is then separated from the extract, preferably by centrifugation, and dried to form the protein isolate.

25

٠;

5

10

15

20

25

In another preferred embodiment, the soy protein material used in the method of the invention is a soy protein concentrate. To form the isoflavone containing soy protein concentrate, a commercially available defatted soy flake material is washed with an alcohol, preferably an aqueous alcohol such as 80% ethanol or 80% methanol, or an aqueous solution having a pH equivalent to the isoelectric point of soy protein, about pH 4.4 to about 4.6. The wash is separated from the protein material, leaving the soy protein concentrate.

The extractant containing the isoflavones is separated from the insoluble soy materials to form an isoflavone enriched extract. If desired, an isoflavone enriched material can be recovered by concentrating the extract to remove the solvent thereby producing a solid isoflavone enriched material. In another embodiment additional extract is added to the alcohol-washed soy protein (soy protein concentrate) to increase the amount of isoflavones in the protein concentrate.

In another preferred embodiment the isoflavones are further purified from other soy materials soluble in the extract by contacting the extract with a material which adsorbs the isoflavones in the extract, and eluting the adsorbed isoflavones out of the adsorbent material with a solvent which causes the isoflavones to be differentially eluted from the adsorbent material.

In another preferred embodiment the present invention provides a method of preventing or treating the symptoms of one or more of the aforementioned clinical medical conditions by administering to a subject having, or predisposed to, one or more of these conditions, a therapeutically effective amount of at least one isoflavone-enriched fraction component selected from the group consisting of a saponin, a lecithin, a phenolic acid, a trypsin inhibitor, a phytosterol, a peptide, and an oligosaccharide.

Having now fully described the invention, it will be understood to those of ordinary skill in the art that the same can be performed within a wide and equivalent range of conditions, formulations, and other parameters without

10

15

20

25

;..

affecting the scope of the invention or any embodiment thereof. All patents and publications cited herein are fully incorporated by reference herein in the entirety.

The following examples are illustrative, but not limiting, of the method and compositions of the present invention. Other suitable modifications and adaptations of the variety of conditions and parameters normally encountered and obvious to those skilled in the art are within the spirit and scope of the invention.

#### Example 1

Experimental Effects of Isoflavone-poor and Isoflavone-rich Soy Protein on Hepatic Lipids and Blood Platelet Activation Sensitivity in Male Lean and Obese Zucker Rats

Animals and diets. Male Zucker obese (fa/fa) rats and their lean (Fa/Fa or Fa/fa) littermates (8 wk old, n = 3 or n = 4 lean per diet group, and n = 5 obese per diet group, from Harlan Sprague-Dawiey, Inc., Indianapolis, IN) were fed diets containing either casein (C diet), low-isoflavone soy protein isolate (LS diet), or high-isoflavone soy protein isolate (HS diet) as the protein source for 70 d. The basal (C) diet (Table 1) is a modified AIN-76 semi-purified diet for laboratory rodents. Sucrose was decreased from 500 g/kg diet (AIN-76 diet) to 300 g/kg diet, and cornstarch was increased from 150 g/kg diet (AIN-76 diet) to 350 g/kg diet. The net protein content of all 3 diets was 174 g/kg diet, and protein source (casein, low-isoflavone soy protein isolate, or high-isoflavone soy protein isolate) was the only dietary variable. Total isoflavone concentrations of the LS and HS diets were 3.8 mg/100 g diet and 57.8 mg/100 g diet, respectively. The individual isoflavone concentrations as genistein-, daidzein-, and glyciteincontaining compounds (aglycones + glycosides + glycoside esters) were 2.4, 1.2, and 0.2 mg/100 g diet (LS diet) and 37.0, 17.9, and 2.9 mg/100 g diet (HS diet), respectively.

At the end of the 70 d experimental period, rats were deprived of food for 12 h, and then anaesthetized with an intraperitoneal injection of sodium pentobarbitol (5 mg/100g body wt). Blood was drawn from anaesthetized rats for platelet isolation by cardiac puncture into syringes pre-loaded with 10% of the expected blood volume as 4% sodium citrate. Livers were excised and frozen at -80°C for cholesterol and triglyceride analysis. Platelets were isolated from platelet-rich plasma, and platelet activation sensitivity was estimated *in vitro* in resting and thrombin-stimulated platelets using the amount of serotonin (5HT) secreted (% initial platelet 5HT) as the dependent variable.

10

15

5

Liver cholesterol and triglyceride analysis. Portions of frozen-thawed livers were extracted with chloroform: methanol (2:1) essentially by the method of Folch, J., et al., J. Biol. Chem. 226:497-509 (1957). For cholesterol analysis, aliquots of lipid extracts (5-50  $\mu$ L) were mixed with 50  $\mu$ L of 15% Triton X-100 in acetone, and solvents were evaporated under vacuum. Total and unesterified cholesterol concentrations were determined colorimetrically by an enzymatic procedure (Allain, C. C., et al., Clin. Chem. 20:470-475 (1974)), and cholesteryl ester was calculated by difference. Liver triglycerides were quantified (with a triolein standard) by a procedure utilizing the Hantzch reaction (Fletcher, M. J., Clin. Chim. Acta 22:393-397 (1968)).

20

Plasma cholesterol analysis. Total cholesterol was measured in platelet-rich plasma using an enzymatic procedure (Allain, C. C., et al., Clin. Chem. 20:470-475 (1974)).

25

30

35

Table 1
Diet Composition<sup>1</sup>

	_	Diet groups					
5	Ingredient	Control (C)	Low-isoflavone soy protein (LS)	High-isoflavone soy protein (HS)			
			g/kg diet				
	Casein <sup>2</sup>	200	0 0				
	Soy protein <sup>3</sup>		200	200			
	Cornstarch	350	350	350			
10	Sucrose	300	300	300			
	Com oil	50	50	50			
	Cellulose	50	50	50			
	Vitamin mix⁴	10	10	10			
	Mineral mix4	35	35	35			
15	Choline chloride	2	2	2			
	DL-Methionine	3	3	3			
	a-Tocopherol	1.2	1.2	1.2			

<sup>&</sup>lt;sup>1</sup> Total energy content = 15.67 MJ/kg diet. Macronutrient distribution (% metabolize energy, based on energy contents of 16.7 kJ/g protein. 37.6 kJ/g fat, and 16.7 kJ/g available carbohydrate, Wisker and Feldheim 1990): protein (18.6%), carbohydrate (69.4%), fat (12.0%).

<sup>4</sup> Vitamin and mineral mixes, AIN-76 (ICN Biomedicals, Costa Mesa, CA)

#### Platelet isolation and measurement of platelet activation sensitivity.

Anticoagulated blood (8-9 mL) was mixed with 1.5 mL pH 7.4 buffered saline glucose-citrate (BSG-C), and platelet-rich plasma (PRP) was obtained by centrifugation at 850 x g for 5 min at 22°C. The PRP was centrifuged through a two-step CellSep Platelets (Cardinal Associates, Santa Fe, NM) gradient at 1450 x g for 20 min at 22°C. Isolated platelets were removed from the gradient interface, washed with BSG-C, and re-suspended in pH 7.6 Tyrode's buffer at a concentration of 2-4 x 10<sup>8</sup> cells per mL. Aliquots of suspended platelets were equilibrated at 22°C for 3 h, and then -treated either with or without thrombin

<sup>&</sup>lt;sup>2</sup> Casein, purified high nitrogen, 87% protein (ICN Biomedicals, Costa Mesa, CA).

<sup>&</sup>lt;sup>3</sup> Soy protein isolates (Protein Technologies International, St. Louis, MO). Low-isoflavone soy protein (LS dict, Product FXP-H-0088), 86.4% protein, 3.5% fat, 4.4% ash. total isoflavones (0.2 mg/g product). High-isoflavone soy protein (HS diet, Product FXP-H-0086), 87% protein, 4.8% fat, 4.2% ash. total isoflavones (2.89 mg/g product).

٠;

5

10

15

20

25

30

(0.15 U/mL) for 3 min. Thrombin-stimulated and unstimulated platelets were pelleted, and supernatants were immediately removed and frozen at -80°C. Platelet pellets were dissolved in pH 7.5 lysis buffer, and protein was quantified essentially by the method of Lowry, O. H., et al., J. Biol Chem. 193:265-275 (1951) using Sigma Assay Kit no. P5656 and BSA as a standard. Aliquots of thrombin-stimulated and unstimulated platelet lysates and supernatants were diluted with 0.32 M perchloric acid containing methyl 5-hydroxytryptamine (methylserotonin) as an internal standard. Serotonin was quantified using reverse-phase HPLC. The initial platelet serotonin content was computed by adding the amount of serotonin in each platelet lysate to the amount of serotonin secreted from each suspended platelet sample. Serotonin secreted from unstimulated and thrombin-stimulated platelet samples is expressed as a percentage of the initial platelet serotonin content (% initial platelet 5HT).

Statistical analysis. Body weights, weight gain, energy intake, and energy efficiency ratio were analyzed for the main and interaction effects of diet group (C, LS, and HS) and phenotype (lean, obese), using a two-way ANOVA. Liver cholesterol and triglyceride and plasma cholesterol concentrations were similarly analyzed, and results provided in Figures 1 and 2 are given as diet group means ± SEM. Platelet data was analyzed for the main and interaction effects of diet group (C, LS, and HS) and phenotype (lean, obese) using either two-way ANOVA (for platelet protein and initial platelet 5HT) or two-way ANCOVA with platelet protein as a covariate (for unstimulated 5HT secretion and thrombin-stimulated 5HT secretion). Results provided in Figure 3 are given as diet group least-squares means ± SEM. Platelet data was also analyzed for the effects of diet group (C, LS, and HS), independent of phenotype, using either a one-way ANOVA or a one-way ANCOVA as described above.

Results. Initial and final body weights averaged 183 g and 408 g, respectively in lean rats and 242 g and 584 g, respectively in obese rats. This corresponded to an average weight gain of 3.2 g/d in lean rats and 4.9 g/d in obese rats. Energy intake and energy efficiency ratio averaged 360 kJ/d and 9.0

10

15

20

25

30

;.

:

g/MJ, respectively in lean rats and 441 kJ/d and 11.1 g/MJ, respectively in obese rats. The effect of phenotype was significant (P < 0.001), but the effect of diet group was not significant (P > 0.05) for each variable.

Liver weight (Fig. 1A) in obese rats was reduced by soy protein from 27.2 g (C diet) to 20.4 g (LS diet) to 15.5 g (HS diet). This corresponded to a reduction in relative liver weight (Fig. 1B) from 4.9 g/100 g body wt (C diet) to 3.6 g/100 g body wt (LS diet) to 2.7 g/100 g body wt (HS diet). Liver triglycerides (Fig. 1C) were lowered in lean rats by both soy protein diets from 67  $\mu$ mol/g liver (C diet) to 34-36  $\mu$ mol/g liver (LS and HS diets), as well as in obese rats from 279  $\mu$ mol/g liver (C diet) to 187  $\mu$ mol/g liver (LS diet) to 142  $\mu$ mol/g liver (HS diet). Liver total cholesterol (Fig. 1D) was lowered from 8.9  $\mu$ mol/g liver and 11.8  $\mu$ mol/g liver in lean and obese rats (C diet), respectively, to 5.8-6.1  $\mu$ mol/g liver (HS diet). Although a consistent effect of soy protein on liver unesterified cholesterol (Fig. 1E) was not observed, liver cholesteryl ester (Fig. 1F) was dramatically reduced from control values (C diet) by the HS diet, in both lean and obese rats, by 88% and 77%, respectively. The LS diet produced an intermediate lowering of liver cholesteryl ester and total cholesterol in lean and obese animals. Plasma total cholesterol (Fig. 2) was not affected by diet in lean rats; however, plasma cholesterol was decreased in obese rats from 6.3 mmol/L (C diet) to 5.0 mmol/L (LS diet) to 4.5 mmol/L (HS diet).

Platelet protein concentration averaged 165  $\mu$ g/sample in lean and obese rats, independent of diet group or phenotype. Initial platelet 5HT (serotonin) concentration tended to be higher (P=0.07) in obese rats (2.5 ng/ $\mu$ g protein) than in lean rats (2.1 ng/ $\mu$ g protein), independent of diet group. There was not a significant effect of diet group or phenotype on either unstimulated 5HT secretion (Fig. 3A) or thrombin-stimulated 5HT secretion (Fig. 3B); although, unstimulated 5HT secretion tended to be higher (P=0.1) in obese rats (5.0%) than in lean rats (3.9%). Furthermore, there was a significant reduction (one-way ANCOVA, P=0.04) in unstimulated 5HT secretion from 5.6% (C diet) to 4.1% (HS diet), as well as a trend for a reduction (P=0.06) to 4.3% by the LS diet.

#### Example II

Experimental Effects of Isoflavone-poor and Isoflavone-rich Soy Protein on Hepatic Lipids and Blood Platelet Activation Sensitivity in Male Sprague-Dawley Rats

least-squares means  $\pm$  SEM.

Animals, diets, and analytical procedures. Male Sprague-Dawley rats (6 wk old, n = 10 per diet group, from Harlan Sprague-Dawley, Inc., Indianapolis, IN) were fed diets containing either casein (C diet), low-isoflavone soy protein isolate (LS diet), or high-isoflavone soy protein isolate (HS diet) as the protein source for 42 d. Diets were identical to those listed in Table 1 (Example I). Analytical procedures for determination of liver cholesterol and triglyceride concentrations, plasma total cholesterol concentration, and platelet activation sensitivity were as described in Section I.

Statistical analysis. Body weights, weight gain, energy intake, and

15

10

5

energy efficiency ratio were analyzed for the effect of diet group (C, LS, and HS), using a one-way ANOVA. Liver cholesterol and triglyceride concentrations and plasma total cholesterol concentration were analyzed similarly (Table 2). Platelet data was analyzed for the effect of diet group, using either one-way ANOVA (for platelet protein and initial platelet 5HT) or one-way ANCOVA with platelet protein as a covariate (for unstimulated 5HT secretion and thrombin-stimulated 5HT secretion). Results provided in Figure 4 are given as diet group

10

15

20

25

30

35

Table 2

Plasma Cholesterol Concentration and Liver Cholesterol and Triglyceride
Concentrations in Male Sprague-Dawley Rats Fed Casein-, Isoflavone-poor
Soy Protein-, or Isoflavone-rich Soy Protein-based Diets for 42 Days¹

Diet group <sup>2</sup>	n	Plasma total cholesterol3		Unesterified cholesterol	Cholesteryl esters	Total cholesterol	Total triglycerides <sup>5,6</sup>
		mmol/L	g/100 g body wt		yniol/g	liver	
c	10	2.70	2.99	4.50b	2.60	7.10	22.94
LS	10	2.66	2.78	4.63b	2.39	7.02	19.08
HS	10	2.54	2.82	4.98	1.99	6.97	16.82
Pooled :	SEM	0.05	0.04	0.07	0.13	0.12	1.36

<sup>&</sup>lt;sup>1</sup> Values are diet group means. Values in a column not sharing a common superscript are significantly different (one-way ANOVA, Fisher's LSD test,  $P \le 0.05$ ).

Results. Initial and final body weights averaged 125 g and 341 g, respectively, independent of diet group. This corresponded to a weight gain of 5.1 g/d. Energy intake was lower in rats fed the LS diet (310 kJ/d) and the HS diet (324 kJ/d) than in rats fed the C diet (352 kJ/d), and the energy efficiency ratio increased from 14.5 g/MJ (C diet) to 16.6 g/MJ (LS diet) and 16.0 g/MJ (HS diet).

Relative liver weight (Table 2) tended to be lower ( $P \le 0.1$ ) in the LS and HS diet groups (2.8 g/100 g body wt) than in the C diet group (3.0 g/100 g body wt). There was a trend towards a 23% reduction in liver cholesteryl ester and a 27% reduction in liver total triglycerides from control (C diet) values by the HS diet ( $P \le 0.1$ ). Liver unesterified cholesterol was increased ( $P \le 0.05$ ) from 4.5  $\mu$ mol/g liver (C diet) and 4.6  $\mu$ mol/g liver (LS diet) to 5.0  $\mu$ mol/g liver in rats fed isoflavone-rich soy protein (HS diet). Plasma total cholesterol concentration was not significantly affected by diet group.

<sup>&</sup>lt;sup>2</sup> Diet groups: C, control; LS, low-isoflavone soy protein: HS, high-isoflavone soy protein

<sup>&</sup>lt;sup>3</sup> Values are for platelet-rich plasma.

<sup>&</sup>lt;sup>4</sup> Liver weight (g/100g body wt) tended to be lower in the LS and HS groups than in the C group (P < 0.1).

<sup>&</sup>lt;sup>5</sup> Liver cholesteryl ester and triglyceride concentrations tended to be lower in the HS group than in the C group ( $P \le 0.1$ ).

<sup>6</sup> Total triglycerides as triolein (MW 885.4).

Platelet protein concentration and the initial platelet 5HT (serotonin) concentration averaged 211  $\mu$ g/sample and 3.1 ng/ $\mu$ g protein, respectively, independent of diet group. Unstimulated 5HT secretion (Fig. 4A) was lowered by both soy protein diets from 4.7% (C diet) to 3.0%. Thrombin-stimulated 5HT secretion (Fig. 4B) was reduced from 59.7% (C diet) to 52.2% (HS diet); whereas, the LS diet tended to produce an intermediate reduction (P = 0.1) to 55.9%.

#### Example III

10

5

Experimental Effects of Soy Isoflavones on Hepatic Lipids and Blood Platelet Activation Sensitivity in Male Sprague-Dawley Rats Fed Control or Atherogenic Diets

15

20

25

30

Animals, diets, and analytical procedures. Male Sprague-Dawley rats (8 wk old, n = 10 per diet group, from Harlan Sprague-Dawley, Inc., Indianapolis, IN) were fed either a control (C) diet (n = 20) or an atherogenic (A) diet (n = 30)for 63 d. Diet compositions are given in Table 3. One-half of the rats administered the control diet (C+I diet) and one-third of the rats administered the atherogenic diet (A+I diet) were fed the control or atherogenic diet supplemented with a soy isoflavones extract that provided 98.3 mg total isoflavones per 100 g diet. Additionally, one-third of the rats administered the atherogenic diet (A+HS diet) were fed the atherogenic diet with casein replaced by high-isoflavone soy protein isolate (57.8 mg total isoflavones per 100 g diet). The individual isoflavone concentrations as genistein-, daidzein-, and glycitein-containing compounds (aglycones + glycosides + glycoside esters) were 53.5, 41.1, and 3.7 mg/100 g diet (C+I and A+I diets) and 37.0, 17.9, and 2.9 mg/100 g diet (A+HS diet). The basal diet (C diet) is a modified AIN-76 semipurified diet for laboratory rodents. For the basal diet, sucrose was decreased from 500 g/kg diet (AfN-76 diet) to 200 g/kg diet, and cornstarch was increased from 150 g/kg diet

(AIN-76 diet) to 450 g/kg diet. The net protein content of all five diets was 174 g/kg diet. The control diet was converted to an atherogenic diet by increasing the sucrose content from 200 to 400 g/kg diet, reducing the cornstarch content from 450 to 145 g/kg diet, reducing the corn oil content from 50 to 20 g/kg diet, and by adding coconut oil (70 g/kg diet), lard (50 g/kg diet), cholesterol (12 g/kg diet), and cholic acid (2 g/kg diet). Analytical procedures for determination of liver cholesterol and triglyceride concentrations, plasma total cholesterol concentration, and platelet activation sensitivity were as described in Example I.

Statistical analysis. Body weights, weight gain, energy intake, and energy efficiency ratio were analyzed with a two-way ANOVA for the main and interaction effects of type of diet (C and C+I diets vs. A, A+I, and A+HS diets) and the presence of isoflavones in the diet (C and A diets vs. C+I, A+I, and A+HS diets). Liver cholesterol and triglyceride and plasma cholesterol concentrations (Table 4) were similarly analyzed. Additionally, a one-way ANOVA was applied to the liver lipid and plasma cholesterol data to distinguish individual treatment effects among the three atherogenic diets. Platelet data was analyzed for the main and interaction effects of type of diet and the presence of isoflavones in the diet as described above, using either two-way ANOVA (for platelet protein and initial platelet 5HT) or two-way ANCOVA with platelet protein as a covariate (for unstimulated 5HT secretion and thrombin-stimulated 5HT secretion). Results provided in Figure 5 are given as individual diet group least-squares means ± EM.

15

5

10

Table 3
Diet Composition<sup>1</sup>

		Diet Groups						
5	Ingredient	Control (C)	Control + soy isoflavones (C+I)	Atherogenic (A)	Atherogenic + soy isoflavones (A+1)	Atherogenic + soy protein (A + HS)		
				g/kg diet				
	Casein <sup>2</sup>	200	200	200	200			
10	Soy Protein <sup>3</sup>					200		
	Cornstarch	450	450	145	145	145		
	Sucrose	200	200	400	400	400		
	Com oil	50	50	20	20	20		
	Coconut oil			70	70	70		
15	Lard			50	50	50		
	Cellulose	50	50	50	50	50		
	Vitamin mix4	10	10	10	10	10		
	Mineral mix4	35	35	35	35	35		
20	Choline Chloride	2	2	2	2	2		
	DL- Methionine	3	3	3	3	3		
	a-Tocopherol	1.2	1.2	1.2	1.2	1.2		
	Cholesterol			12	12	12		
25	Cholic acid			2	2	2		
	Soy isoflavones <sup>s</sup>		1.2		1.2			

<sup>&</sup>lt;sup>1</sup> Total energy content = 15.67 MJ/kg diet (C and C+I diets) and 17.31 MJ/kg diet (A, A+I, and A+HS diets). Macronutrient distribution (% metabolize energy, based on energy contents of 16.7 kJ/g protein, 37.6 kJ/g fat, and 16.7 kJ/g available carbohydrate. Wisker and Feldheim 1990): C and C+I diets, protein (18.6%), carbohydrate (69.4%), fat (12.0%); A, A+I, and A+HS diets, protein (16.8%), carbohydrate (52.7%), fat (30.5%).

<sup>&</sup>lt;sup>2</sup> Casein, purified high nitrogen, 87% protein (ICN Biomedicals, Costa Mesa, CA).

Soy protein isolate (Protein Technologics International, St. Louis, MO). High-isoflavone soy protein (HS diet, Product FXP-H-0086), 87% protein, 4.8% fat, 4.2% ash: total isoflavones (2.89 mg/g product).

Vitamin and mineral mixes, AIN-76 (ICN Biomedicals, Costa Mesa, CA).

<sup>&</sup>lt;sup>3</sup> Soy Isoflavones (Archer Daniels Midland, Decatur, IL). Total isoflavones (833 mg/g product).

..

Results. Initial and final body weights averaged 183 g and 420 g, respectively, independent of the type of diet or the presence of isoflavones. This corresponded to an average weight gain of 3.8 g/d. Despite similar growth rates for each of the five treatment groups, energy intake was lowered ( $P \le 0.001$ ) from an average of 355 kJ/d in rats fed control diets (C and C+I diets) to 302 kJ/d in rats fed atherogenic diets (A, A+I, and A+HS diets). Furthermore, energy intake was increased ( $P \le 0.002$ ) from an average of 3.15 kJ/d in rats fed diets not containing isoflavones (C and A diets) to 329 kJ/d in rats fed diets containing isoflavones (C+I, A+I, and A+HS diets).

10

5

Correspondingly, the energy efficiency ratio was increased ( $P \le 0.001$ ) from an average of 10.4 g/MJ in rats fed control diets to 12.7 g/MJ in rats fed atherogenic diets, and decreased ( $P \le 0.001$ ) from an average of 12.3 g[MJ in rats fed diets without isoflavones to 11.5 g/MJ in rats fed diets with isoflavones.

Liver weight (Table 4) was increased ( $P \le 0.001$ ) from an average of 2.6

15

20

25

g/100 g body wt in rats fed control diets (C and C+I diets) to 4.4 g/100 g body wt in rats fed atherogenic diets (A, A+I, and A+HS diets). Among the atherogenic diet groups, liver weight was significantly higher ( $P \le 0.01$ ) in rats fed the A+I diet (4.7 g/100 g body wt) than in rats fed the A and A+HS diets (4.3 and 4.2 g/100 g body wt, respectively). Liver unesterified cholesterol was increased from an average of 4.4 µmol/g liver and liver cholesteryl ester was increased from an average of 1.7 µmol/g liver in rats fed control diets to 9.3 µmol/g liver and 104.8 µmol/g liver, respectively, in rats fed atherogenic diets ( $P \le 0.001$ ). Liver total triglycerides were also increased from an average of 16.0 µmol/g liver to 46.8 µmol/g liver by the atherogenic diet ( $P \le 0.001$ ). Among the atherogenic diet groups, liver unesterified cholesterol was significantly higher ( $P \le 0.01$ ) and liver triglycerides were significantly lower ( $P \le 0.001$ ) in rats fed atherogenic diets with isoflavones (A+I and A+HS diets) than in rats fed the atherogenic diet without isoflavones (A diet). Plasma total cholesterol concentration was increased ( $P \le 0.001$ ) from an average of 2.6 mmol/L in rats fed control diets to

WO 00/62774 PCT/US00/10543

٨.

-27-

4.7 mmol/L in rats fed atherogenic diets and was higher ( $P \le 0.05$ ) in rats fed the A+I diet (5.1 mmol/L) than in rats fed the A+HS diet (4.4 mmol/L).

<u>:</u>\*

Table 4

Plasma Cholesterol Concentration and Liver Cholesterol and Triglyceride
Concentrations in Male Sprague-Dawley Rats Fed Control or Atherogenic
Diets with or without Soy Isoflavones for 63 Days<sup>1</sup>

	Diet Group <sup>2</sup>	n	Plasma total cholesterof	Liver W1.	Unesterified cholesterol	Cholesteryl esters	Total cholesterol	Total triglycerides <sup>4</sup>			
10		g/100 g mmol/L body wt									
	С	10	2.56	2.57	4.33	1.55	5.88	15.73			
	C+t	10	2.62	2.62	4.46	1.95	6.40	17.19			
	Α	10	4.64	4.28	8.42	97.90	106.31	59.62			
15	A+I	10	5.08°	4.70 <sup>d</sup>	9.87°	106.83	116.69	40.10 <sup>f</sup>			
	A+HS	10	4.43	4.18	9.63°	109.74	119.37	40.62 <sup>r</sup>			
20	Pooled SEM		0.18	0.14	0.37	7.69	8.04	2.77			
	Effects Two-way ANOVA										
					1	D-value <sup>5</sup>					
	Diet		0.001	0.001	0.001	0.001	0.001	0.001			
	Soy Isoflavor	nes	NS	NS	0.01	NS	NS	0.01			
25	Dict x soy isotlavones		NS	NS	0.04	NS	NS	0.001			
30	Values are Total trigly Actual P-va	s: C, coperations: C, coperati	ontrol; C+1, co + high-Isoflav atelet-rich plass s as triolein (M or two-way Al aer than the A+	one soy prou ma IW 885.4). NOVA are les HS group (o)	ein. ss than or equal ince-way ANOVA	to values show	test P < 0.051				
35	Significant	ly mgr ly high	ner than the A a ner than the A a	and A+HS gn group (one-wa	oups (one-way A ay ANOVA, I'is y ANOVA. Fish	NOVA, Fishe	r's LSD test, $P \le P < 0.01$	0.01).			

٠:

5

10

15

20

25

Platelet protein concentration averaged 312  $\mu$ g/sample and was not affected by type of diet or dietary isoflavones. However, the initial platelet 5HT concentration was lowered ( $P \le 0.001$ ) from an average of 2.4 ng/ $\mu$ g protein in rats fed control diets (C and C+I diets) to 1.9 ng/ $\mu$ g protein in rats fed atherogenic diets (A, A+1. and A+HS diets). Unstimulated 5HT secretion (Fig. 5A) was decreased ( $P \le 0.001$ ) from an average of 6.5% to 5.2%, and thrombin-stimulated 5HT secretion (Fig. 5B) was increased ( $P \le 0.02$ ) from an average of 50.1% to 54.4% in rats fed atherogenic diets. The presence of dietary isoflavones either as an isoflavone extract (C+I and A+I diets) or as a component of soy protein (A+HS diet) did not significantly affect unstimulated or thrombin-stimulated 5HT secretion.

### Example IV

The Effect of Genistein, Daidzein and Estradiol on Liver and Metabolic Parameters in Male Sprague-Dawley Rats.

Animals and treatments. Forty male Sprague-Dawley rats (5 wk old, n = 9-10 rats/treatment, from Harlan Sprague-Dawley, Inc., Indianapolis, IN) were injected with either genistein (G), daidzein (D), estradiol (E). or a vehicle control (V). Each animal in group V received a daily 0.1 cc subcutaneous injection, for six weeks, of a 10% ethanol-90% olive oil solution; the other treatments received their respective compound as a daily 0.1 cc subcutaneous injection, for six weeks, e.g., 0.1 µg of compound/g of body weight, in a 10% ethanol-90% olive oil solution.

Feed and weight measurements. Animal weight and feed intake were measured weekly during the six week study, and the subsequent feed-efficiency ratio [FER (g of weight change/g of feed intake)] was determined. Following sacrifice, the abdominal fat pads, liver, and reproductive organs were removed and weighed for comparison.

10

15

20

25

30

Plasma gluc se and plasma total cholesterol analysis. Collected blood was heparinized and centrifuged, plasma aliquots were then used for glucose and total cholesterol determinations, using standard glucose oxidase (Fisher Scientific, St. Louis, MO) and cholesterol kits (Fisher Scientific, St. Louis, MO). Liver cholesterol and triglyceride analysis. Analytical procedures for determination of liver total cholesterol, unesterified cholesterol, cholesteryl ester, and triglyceride concentrations were as described in Example 1.

Liver Cu, Zn superoxide dimutase and catalase analysis. The activity or level of antioxidant enzymes (AOE), catalase (CAT) and Cu, Zn superoxide Dimutase (SOD) in rat liver, were measured to determine the effect of administration of G, D, and E injections on free radical defense systems. A 50 mg piece of whole frozen liver was removed and sonicated in TBS plus 1% Triton X-100. The samples were then centrifuged at 13,000 X g for 25 min at 4°C, and the supernatant was collected. Total protein concentration was assayed for subsequent determinations of hepatic AOE activity or absolute level per milligram total protein. SOD activity was determined by spectrophotometry at I=560nm using xanthine/xanthine oxidase as a superoxide generator and measured against a standard curve of known SOD activities (Sun et al. 1988, 1994). Catalase levels were determined by Slot blot, which was performed following a representative Western blot that detected no nonspecific binding (Tobin et al. 1979). The bands corresponding to catalase were quantified by densitometry.

Statistical analysis. These studies used a randomized design. All data were analyzed by one-way analysis of variance (ANOVA) and post-hoc comparisons were made with Tukey pairwise comparisons test. Significance was confirmed at  $P \le 0.05$  (SYSTAT 7.0, SPSS INC., 1997), and all values are reported as means  $\pm$  standard error of the mean.

Results. Feed and weight measurements: Feed intake was significantly (P<.05) higher in the D, G and E treated animals when compared to V treated animals (Table 5). Body, testis, and prostate weight were markedly (P<.05)

10

15

20

25

30

lower in the E treated animals when compared to D, G, and V treated animals. Consequently, FER was lower (P<.05) in D, G, and E treated animals when compared to V, and the E treated animals had notably (P<.05) lower FER vs D and G treatments. Intraabdominal fat pad weights were significantly (P<.05) lower in the E group; although, this effect was lost when corrected for body weight.

Table 5

Feed Intake, Body Weight, Visceral Fat Weight, Testis Weight and Prostate Weight in Male Sprague-Dawley Rats Injected with Control, Genistein, Daidzein, or Estradiol for 6 Weeks<sup>1</sup>

Injection Group <sup>2</sup>	n	FER <sup>3</sup>	Feed Intake	Body Weight	Fat Weight	Testis Weight	Prostate Weight
					g		
V	10	.327	721.30	364.60	11.86	3.19	.672
G	9	.277	855.80ª	363.00	11.19	2.90	.732
D	10	.284	857.44	367.89	11.66	2.96	.671
E	10	.137	852.10ª	242.50a.b.c	6.71ªb.c	1.44*,b,c	.291ª.b.c

<sup>&</sup>lt;sup>1</sup> Values are means of each injection group.

the V group (Figure 6). There was a non-significant trend (P<0.5) toward lower plasma cholesterol levels in the G treated animals vs the V group (Figure 6). Additionally, there was a animals vs the V non-significant trend (P<0.1) toward lower blood glucose levels in the E treated group (Figure 7).

Liver weight and lipid evaluations: Liver weights were considerably (P<.05) lower in the D, G, and E treated animals vs the V group (Table 6). Surprisingly, when liver weight as a % of body weight was evaluated, the E treated animals had similar values to the V treated animals; whereas, the D and

<sup>&</sup>lt;sup>2</sup> Injection groups; V, vehicle control; G, genistein; E, estradiol; D, daidzein.

<sup>&</sup>lt;sup>3</sup> FER = g of weight change/g of food intake.

<sup>3</sup> significantly different (P<.05) vs V

b significantly different (P<.05) vs G

c significantly different (P<.05) vs D

G groups still demonstrated a reduction in relative liver mass. Liver total cholesterol was significantly (P<.05) higher in the E treated rats when compared to the V, G, and D animals. Liver cholesteryl esters were significantly (P<.05) higher in the E treated rats when compared to the V and G treatments. Liver triglyceride concentrations were significantly (P<.05) lower in the D treatment when compared to the E treatment.

Table 6

Liver Cholesterol and Triglyceride Concentrations in Male Sprague-Dawley
Rats Injected with Vehicle Control, Genistein, Daidzein, or Estradiol for 6

Weeks'

Injection Group <sup>2</sup>	n	Liver weight	Unesterified Cholesterol	Cholesteryl esters	Total Cholesterol	Total Triglycerides <sup>t</sup>
		g		μm	ol/g liver	
v	10	14.179	2.155	2.812	4.986	24.943
G	9	12.970*	2.600	2.589	5.189	29.528
D	10	12.857°	2.563	3.114	5.678	17.980
E	10	10.487ª.b.c	2.618	4.104*.b	6.722ªb.c	32.186°

<sup>&</sup>lt;sup>1</sup> Values are means of each injection group.

25

30

35

20

5

10

15

Liver free radical scavenger evaluations: Subcutaneous injection of estrogenic compounds (i.e., G, D, and E) had a varied effect on the status of antioxidant defense systems tested 'in these rat livers. The level of catalase did not appear to be effected by treatment with any of these compounds. Means were similar for each group, and differences among them varied by less than 10% (Figure 8). In contrast, all three treatment groups had significantly higher levels of SOD activity than matched vehicle controls (Figure 8). Genistein which affected SOD the least of the three treatment groups, raised the activity of this enzyme by 15% (P<.05). By comparison, daidzein and estradiol, which were of equal

<sup>&</sup>lt;sup>2</sup> Injection groups: V, vehicle control; G, genistein; E. estradiol; D, daidzein.

<sup>\*</sup> Total triglycerides as triolein (MW 885.4).

<sup>&#</sup>x27; significantly different (P<.05) vs V

b significantly different (P<.05) vs G

significantly different (P<.05) vs D

effectiveness in these trials, elevated SOD activity over that of controls, both by approximately 33% (P<.05). In addition to the 'increases in activity over V rat livers, E and D treatments' elevation of SOD activity was significantly higher than that seen with G treatment (P<.05).

5

# Example V The Effects of Soy Protein and Soy Isoflavones on Symptoms Associated with Cardiovascular Disease in Rats

10

Animals and diets. Thirty male and thirty female Sprague-Dawley rats were randomly assigned to one of three treatment groups: High-Isoflavone (2.39 mg/g protein) Soy Protein (HS); Low Isoflavone (0.11 mg/g protein) Soy Protein (LS)-, or Non-Soy (Casein) Protein (C). All other micro/macro nutrients were held constant in the diets. Following sacrifice, blood was collected for wing insulin and glucose measurements and the abdominal fat pads and reproductive organs were removed and weighed.

15

Feed and weight measurements. Animal weight and feed intake were measured weekly during the six week study and the subsequent feed-efficiency ratio [FER (g of weight change/g of feed intake)] was determined. Following sacrifice, the abdominal fat pads, liver, and reproductive organs were removed and weighed for comparison.

20

Plasma glucose, cholesterol, and insulin analysis. Collected blood was heparinized and centrifuged, and plasma aliquots were then used for glucose, total cholesterol, and insulin determinations, using standard glucose oxidase (Fisher Scientific, St. Louis, MO), cholesterol kits (Fisher Scientific, St. Louis, MO), and insulin RIA kits.

25

Statistical analysis. These studies used a randomized design. All data were analyzed by one-way analysis of variance (ANOVA) and post-hoc comparisons were made with Tukev pairwise comparisons test. Significance was

confined at P<0.05 (SYSTAT 7.0, SPSS INC., 1997), and all values are reported as means  $\pm$  standard error of the mean.

Results. In the male rats fed LS and HS, there was a marked (P<0.05) reduction in body and intra-abdominal fat weight when compared to C. There was no difference in gonadal weight between any of the male groups. The male HS rats had a reduction in FER, insulin, and insulin to glucose ratios (I/G) ratio (Figure 9) vs. C. In addition, the male HS rats also demonstrated a non-significant trend (P<0.1) toward a reduction in plasma total cholesterol when compared to the C animals. The gross physiological and metabolic effects 'in the male rats were not manifested in the female rats. Moreover, the female LS rats had an increase (P<0.05) in Intraabdominal fat vs. C and the female HS rats had an increase (P<0.05) 'in plasma glucose vs. C.

15

10

5

# Example VI High Isoflavone Soy Protein Ameliorates Impaired Glucose Tolerance and Fatty Liver in Female Zucker Obese Rats

20

Materials and Methods. Female obese Zucker rats were assigned to one of three diet groups: High -Isoflavone Soy Protein (HIS); Low -Isoflavone Soy Protein (LIS); or Non -Soy (Casein) Protein (C) diets. During the 10-week study, body weight, feed intake and feed efficiency ratio (FER) were assessed. Additionally, a Glucose Tolerance Test was performed and plasma, abdominal fat pads, liver and reproductive organs were collected, weighed and frozen for subsequent analysis.

25

30

Results. The High -Isoflavone Soy Protein (HIS) diet significantly (P<.05) improved glucose tolerance (Figure 10), fatty liver (i.e. decreased liver weight, liver cholesterol, and liver triglycerides) (Figures 11-13), and plasma cholesterol (Figure 14) relative to the Low-Isoflavone Soy Protein (LIS) and Non-Soy (Casein) Protein (C) diets.

PCT/US00/10543

WO 00/62774

5

10

It will be clear that the invention can be practiced otherwise than as particularly described in the foregoing description and examples.

Numerous modifications and variations of the present invention are possible in light of the above teachings and, therefore, are within the scope of the appended claims.

The entire disclosure of all publications (including patents, patent applications, journal articles, laboratory manuals, books, or other documents) cited herein are hereby incorporated by reference.

#### What Is Claimed Is:

1. A method for treating or reducing the predisposition to a condition selected from the group consisting of hepatic steatosis, steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, abnormal platelet function, or abnormal vascular reactivity, said method comprising administering to a subject having said condition or predisposed to said condition a therapeutically effective amount of at least one isoflavonoid.

10

5

- 2. The method of claim 1 wherein said isoflavonoid is derived from soy bean.
- 3. The method of claim 1 wherein said isoflavonoid is contained in an isoflavone-enriched fraction of soy protein.

15

4. The method of claim 1 wherein said isoflavonoid is at least one of genistein, genistin, 6"-0-malonylgenistin, 6"-0-acetylgenistin; daidzein, daidzein, daidzein, daidzein, daidzein, 6"-0-malonyldaidzin, 6"-0-acetylgenistin; glycitein, glycitein, 6"-0-malonylglycitin, 6"-0-acetylglycitin, Biochanin A, or formononetin containing compounds.

20

5. The method of claim 1 wherein said isoflavonoid is administered in combination with soy protein.

25

- 6. The method of claim 5 wherein said soy protein is soy protein isolate.
- 7. The method of claim 5 wherein said soy protein is soy protein concentrate.

WO 00/62774 PCT/US00/10543

- 8. The method of claim 3 wherein said isoflavone-enriched fraction is administered in combination with soy protein.
- 9. The method of claim 8 wherein said soy protein is soy protein isolate.

5

10

15

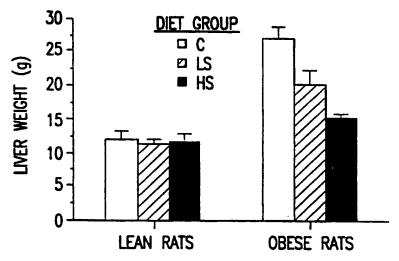
20

25

30

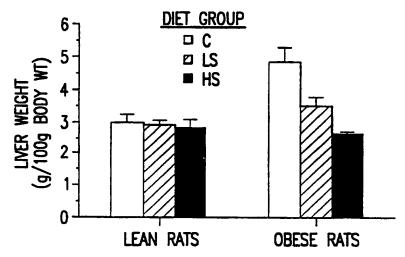
- 10. The method of claim 8 wherein said soy protein is soy protein concentrate.
- 11. The method of claim 1 wherein said isoflavonoid is administered in combination with at least one dietary ingredient or supplement other than soy protein.
- 12. The method of claim 3 wherein said isoflavone-enriched fraction is administered in combination with at least one dietary ingredient or supplement other than soy protein.
- 13. The method of claim 3 wherein said isoflavone-enriched fraction comprises at least one component selected from the group consisting of: a saponin, a lecithin, a phenolic acid, a trypsin inhibitor, a phytosterol, a peptide, and an oligosaccharide.
- 14. A method for treating or reducing the predisposition to a condition selected from the group consisting of hepatic steatosis, steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, abnormal platelet function, or abnormal vascular reactivity, said method comprising administering to a subject having said condition or predisposed to said condition a therapeutically effective amount of at least one isoflavone-enriched fraction component selected from the group consisting of a saponin, a lecithin, a phenolic acid, a trypsin inhibitor, a phytosterol, a peptide, and an oligosaccharide.

1/14



EFFECTS: DIET, P=0.005; PHENOTYPE, P<0.001; DIET X PHENOTYPE, P<0.01

FIG. 1A

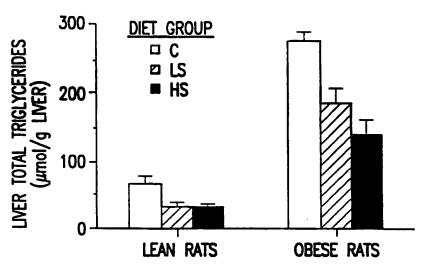


EFFECTS: DIET, P<0.01; PHENOTYPE, P<0.01; DIET X PHENOTYPE, P=0.01

FIG. 1B

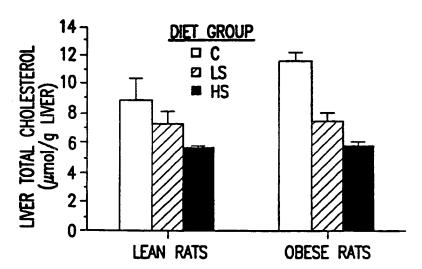
WO 00/62774 PCT/US00/10543

2/14



EFFECTS: DIET, P<0.001; PHENOTYPE, P<0.001; DIET X PHENOTYPE, P=0.02

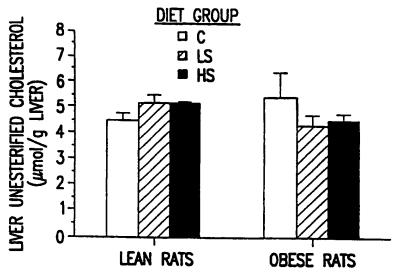
FIG. 1C



EFFECTS: DIET, P<0.001; PHENOTYPE, P=0.04; DIET X PHENOTYPE, NS

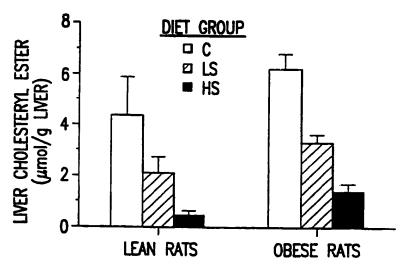
FIG. 1D

3/14



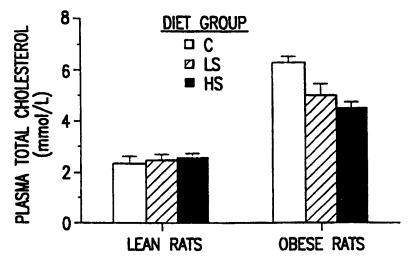
EFFECTS: DIET, NS; PHENOTYPE, NS; DIET X PHENOTYPE, NS

FIG. 1E



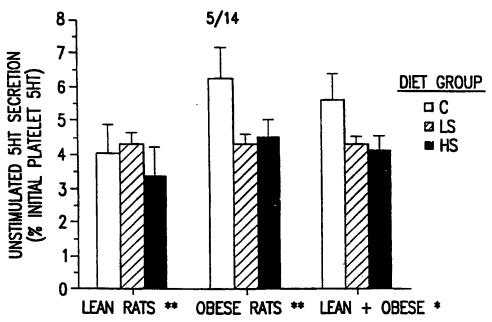
EFFECTS: DIET, P<0.001; PHENOTYPE, P<0.01; DIET X PHENOTYPE, NS

FIG. 1F



EFFECTS: DIET, NS; PHENOTYPE, P<0.001; DIET X PHENOTYPE, P=0.02

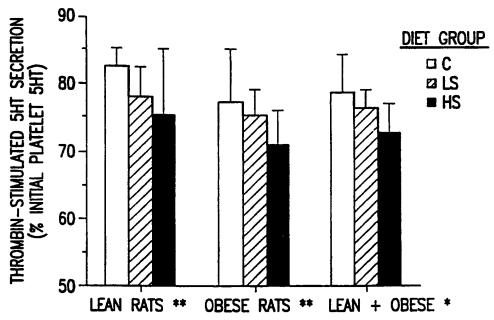
FIG.2



\*\* EFFECTS (TWO-WAY ANCOVA): DIET, NS; PHENOTYPE, P=0.1; DIET X PHENOTYPE, NS

FIG. 3A

\* EFFECT (ONE-WAY ANCOVA):
DIET, C vs LS, P=0.06; C vs HS, P=0.04;
LS vs HS, NS

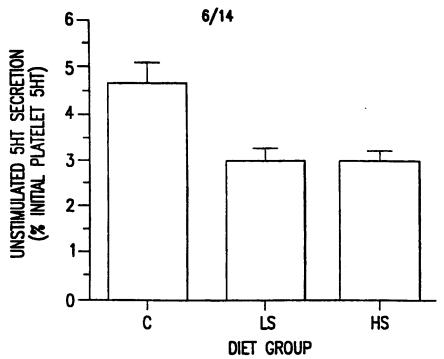


\*\* EFFECTS (TWO-WAY ANCOVA): DIET, NS; PHENOTYPE, NS; DIET X PHENOTYPE, NS

FIG. 3B

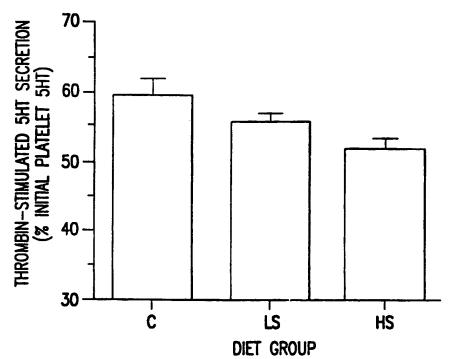
\* EFFECT (ONE-WAY ANCOVA): DIET, NS SUBSTITUTE SHEET (RULE 26)

WO 00/62774 PCT/US00/10543



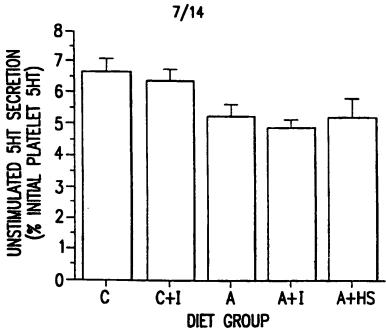
EFFECT: DIET, C vs LS, P=0.002; C vs HS, P=0.001; LS vs HS, NS

FIG. 4A



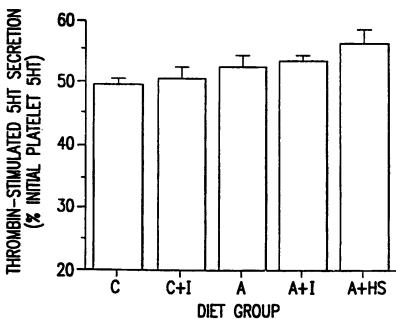
EFFECT: DIET, C vs LS, P=0.1; C vs HS, P=0.003; LS vs HS, P=0.1

FIG. 4B



EFFECTS: DIET, P=0.001; ISOFLAVONES, NS; DIET X ISOFLAVONES, NS

FIG. 5A



EFFECTS: DIET, P=0.02; ISOFLAVONES, NS; DIET X ISOFLAVONES, NS

FIG. 5B

8/14

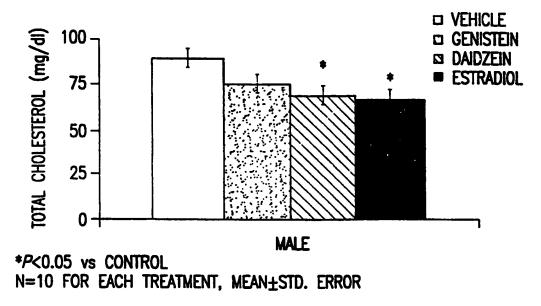
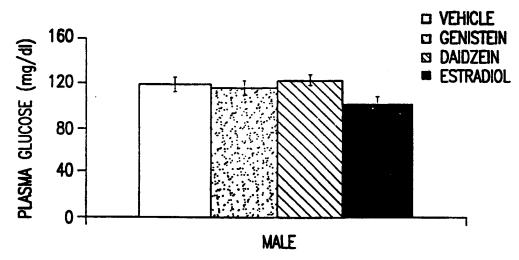
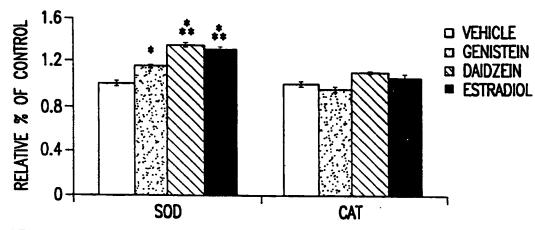


FIG.6



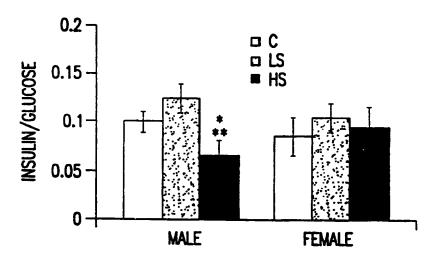
N=10 FOR EACH TREATMENT, MEAN±STD. ERROR

FIG.7



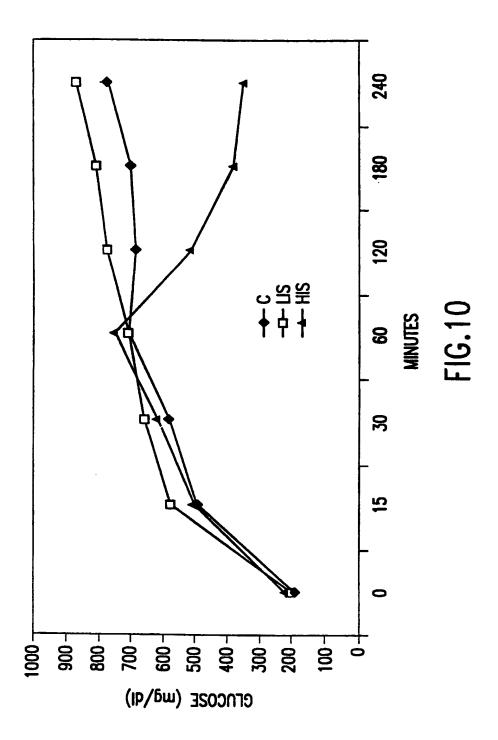
\*P<0.05 vs CONTROL, \*\*P<0.05 vs GENISTEIN N=10 FOR EACH TREATMENT, MEAN±STD. ERROR

FIG.8

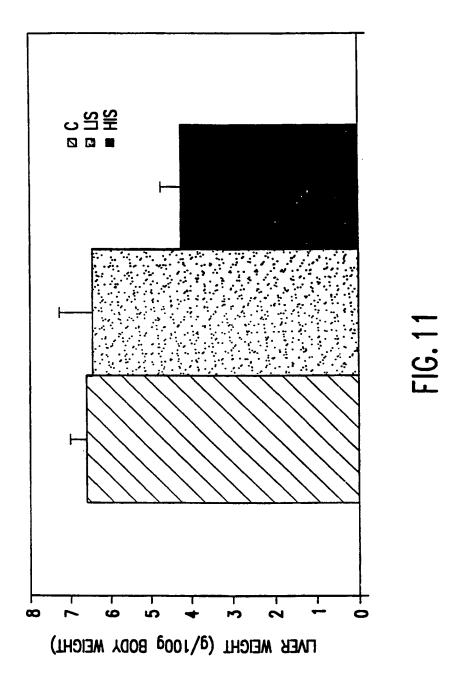


\*P<0.05 vs C, \*\*P<0.05 vs LS N=10 FOR EACH TREATMENT, MEAN±STD. ERROR

FIG.9

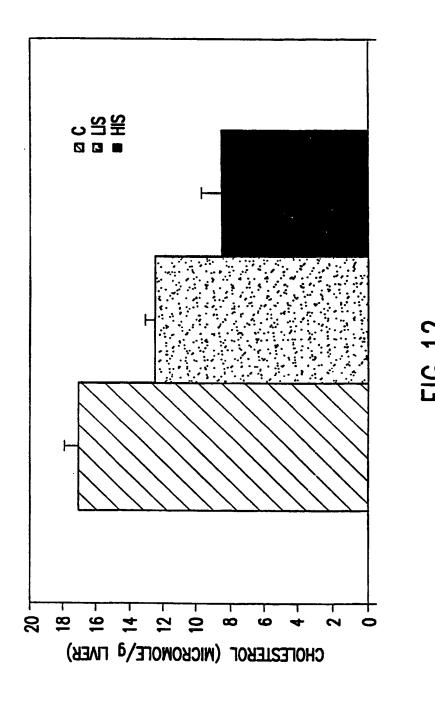


SUBSTITUTE SHEET (RULE 26)



SUBSTITUTE SHEET (RULE 26)

12/14



SUBSTITUTE SHEET (RULE 26)

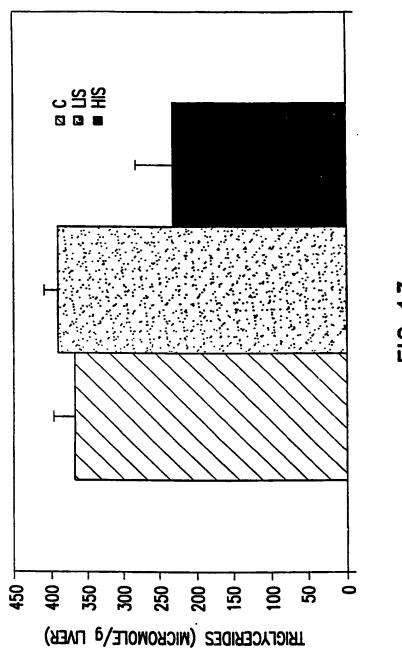


FIG. 15

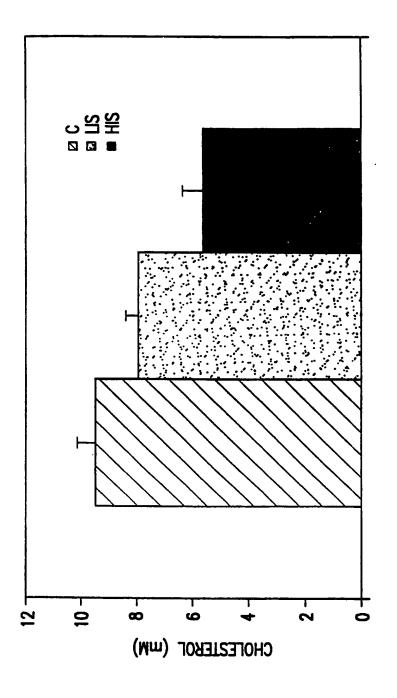


FIG. 14

Int. ational Application No PCT/US 00/10543

A CLASS	FICATION OF SUBJECT MATTER		
ÎPC 7	A61K31/352 A61P1/16 A61P3/0 A61P5/50 A61P3/10	6 A61P7/02	A61P9/00
According t	o International Patent Classification (IPC) or to both national classific	cation and IPC	
B. FIELDS	SEARCHED		
IPC /	ocumentation searched (classification system followed by classifica A61K		
	tion searched other than minimum documentation to the extent that		
EPO-In AIDSLI	ternal, PAJ, WPI Data, CHEM ABS Dat NE, MEDLINE		
	ENTS CONSIDERED TO BE RELEVANT		
Category '	Citation of document, with indication, where appropriate, of the re	elevant passages	Relevant to claim No.
X	US 5 855 892 A (HENLEY EDNA C E 5 January 1999 (1999-01-05) abstract column 1, line 8 - line 17	T AL)	1-6,8,9, 11,12
	column 2, line 42 -column 3, lin column 3, line 60 -column 4, lin	e 35 e 8	
	column 5, line 43 - line 56 column 6, line 31 - line 43		
	column 8, line 1 -column 9, line column 12, line 46 -column 13, l	18	
	claims	ine 34	
	<del></del>	-/	
	·		
	ner documents are tisted in the continuation of box C.	X Patent family member	ers are listed in annex.
"A" docume	tegories of cited documents:  Int defining the general state of the art which is not	or priority data and not in	after the international filing date conflict with the application but
consid	ered to be of particular relevance locument but published on or after the international	"X" document of particular reli	rinciple or theory underlying the
Which	nt which may throw doubts on priority claim(s) or is cited to establish the publication date of another i or other special reason (as specified)	caulot pe cousideled lig	vel or cannot be considered to when the document is taken alone
"O" docume other n	ent referring to an oral disclosure, use, exhibition or neans	document is combined to ments, such combination	involve an inventive step when the ith one or more other such docu- being obvious to a person skilled
later tr	nt published prior to the international filing date but an the priority date claimed	in the art. "&" document member of the	
Date of the a	actual completion of the international search	Date of mailing of the inte	rnational search report
	l September 2000	05/10/2000	
Name and m	nating address of the ISA  European Patent Office, P.B. 5616 Patentiaan 2  NL - 2280 HV Rijswijk	Authorized officer	
	Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Fax: (+31-70) 340-3016	Cielen, E	

Int ational Application No PCT/US 00/10543

C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	PC1/US 00/10543
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 98 03084 A (NICOLOSI ROBERT J; NUTRICOR INC (US); ORTHOEFER FRANK (US); BERLOW) 29 January 1998 (1998-01-29) page 2, line 18 - line 24 page 5, line 7 - line 13 page 6, line 13 - line 29 page 7, line 29 -page 8, line 25 page 13, line 1 -page 14, line 11 table 1 page 15, line 12 - line 20 table 3 page 16, line 7 -page 17, line 13 table 6 page 24, line 15 - line 25 table 10	1-5,8, 11-14
X	US 5 830 887 A (KELLY GRAHAM EDMUND)  3 November 1998 (1998-11-03) abstract column 4, line 35 - line 41 column 5, line 53 -column 6, line 29 column 7, line 19 -column 9, line 11 column 9, line 43 - line 48 column 11, line 10 - line 20 column 12, line 1 - line 16 example 2 claims	1-4,11
(	PATENT ABSTRACTS OF JAPAN vol. 1998, no. 09, 31 July 1998 (1998-07-31) & JP 10 101561 A (NORIN SUISANSYO SHIKOKU NOGYO SHIKENJO), 21 April 1998 (1998-04-21) abstract	1,2,4
	DATABASE WPI Week 199802, 30 September 1997 (1997-09-30) Derwent Publications Ltd., London, GB; AN 1998-011907 XP002147651 UESUGI TAKEHIKO, HIRAI KUNIMASA ET AL.: "Medicine and edible composition for lowering concentration of lipid in blood." & JP 09 255570 A (FUJITSUKO KK) abstract	1,4

In. atlonal Application No PCT/US 00/10543

		PCT/US 00/10543
	eation) DOCUMENTS CONSIDERED TO BE RELEVANT	
alegory	Citation of document, with indication where appropriate, of the relevant passages	Relevant to claim No.
•	BANZ W., PELUSO M., WINTERS T., SHANAHAN M.: "The effects of soy protein and isoflavones on platelet, lipid and liver measurements in zucker rats." FASEB JOURNAL, vol. 13, no. 5 part 2, supp. S, 15 March 1999 (1999-03-15), page A885 XP000939046 the whole document	1-5,8
(	WILLIAMS J KOUDY; CLARKSON THOMAS B: "Dietary soy isoflavones inhibit in-vivo constrictor responses of coronary arteries to collagen-induced platelet activation." CORONARY ARTERY DISEASE, vol. 9, no. 11, 1998, pages 759-764, XP000937668 page 759, column 1, paragraph 1 -column 2, paragraph 1 page 760, column 1, paragraph 1 page 760, column 1, paragraph 3 -column 2, paragraph 1 table 1 page 762, column 1, paragraph 2 -column 2, paragraph 1 page 763, column 1, paragraph 1 page 763, column 1, paragraph 5 -column 2, paragraph 3	1-6,8,9,
(	NOGOWSKI L., MACKOWIAK P., KANDULSKA K., SZKUDELSKI T., NOWAK K.W.:  "Genistein-induced changes in lipid metabolism of ovariectomized rats."  ANNALS OF NUTRITION AND METABOLISM, vol. 42, no. 6, November 1998 (1998-11)  - December 1998 (1998-12), pages 360-366, XP000937662  abstract page 361, column 1, paragraph 1 -column 2, paragraph 2  table 1  page 363, column 1, paragraph 1 -page 365, column 2, paragraph 2  -/	1,2,4,11

1

Int .tional Application No PCT/US 00/10543

C.(COIII.III	uation) DOCUMENTS CONSIDERED TO BE RELEVANT	PCT/US 00/10543
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	ANTHONY M. S., CLARKSON T.B., WILLIAMS J.K.: "Effects of soy isoflavones on atherosclerosis: potential mechanisms" AMERICAN JOURNAL OF CLINICAL NUTRITION, vol. 68, no. 6 SUPPL, December 1998 (1998-12), pages 1390S-1393S, XP000937663 abstract page 1391S, column 1, paragraph 3 -column 2, paragraph 1 page 1392S, column 1, paragraph 2 figure 5 page 1393S, column 1, paragraph 1	1-6,8,9
x	HONORE E K ET AL: "Soy isoflavones enhance coronary vascular reactivity in atherosclerotic female macaques" FERTILITY AND STERILITY, US, ELSEVIER SCIENCE INC, NEW YORK, NY, vol. 67, no. 1, January 1997 (1997-01), pages 148-154, XP002126539 ISSN: 0015-0282 abstract page 149, column 1, paragraphs 2,4 page 149, column 2, paragraph 1 page 152, column 1, paragraph 2 - paragraph 3 page 153, column 1, paragraph 3 -column 2, paragraph 1	1-6,8,9
X	JONAS J C; PLANT T D; GILON P; DETIMARY P; NENQUIN M; HENQUIN J C: "Multiple effects and stimulation of insulin secretion by the tyrosine kinase inhibitor genistein in normal mouse islets." BRITISH JOURNAL OF PHARMACOLOGY , vol. 114, no. 4, 1995, pages 872-880, XP000937669 abstract page 872, column 2, paragraph 2 page 877, column 2, paragraph 4 page 879, column 1, paragraph 1	1,4
, <b>X</b>	EP 0 943 245 A (PROTEIN TECH INT) 22 September 1999 (1999-09-22) abstract page 2, line 56 - line 57 page 3, line 12 - line 15 page 4, line 1 - line 8 page 4, line 36 -page 7, line 15 page 11, line 6 - line 33 claims	1-10

Int. itional Application No PCT/US 00/10543

	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
alegory ·	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Р,Х	BANZ W., STEGER R., ROEDER M. ET AL.: "High isoflavone soy protein ameliorates impaired glucose tolerance and fatty liver in female zucker obese rats." FASEB JOURNAL, vol. 14, no. 4, 15 March 2000 (2000-03-15), page A765 XP000937517 the whole document	1-14
Р,Х	SCHOENE NORBERTA W; GUIDRY CATHERINE A: "Dietary soy isoflavones inhibit activation of rat platelets." JOURNAL OF NUTRITIONAL BIOCHEMISTRY, vol. 10, no. 7, July 1999 (1999-07), pages 421-426, XP000937600 abstract page 421, column 1, paragraph 1 page 421, column 2, paragraph 2 page 422, column 1, paragraph 3 page 424, column 2, paragraph 2 - paragraph 3 page 425, column 1, paragraph 2 - paragraph 3 page 425, column 2, paragraph 3 page 425, column 2, paragraph 3	1-5,8, 11,12
E	WO 00 30663 A (HOIE LARS HENRIK; NUTRI PHARMA AS (NO)) 2 June 2000 (2000-06-02) abstract page 1, line 5 - line 18 page 3, line 4 - line 22 page 12, line 28 - line 32 page 13, line 16 - line 28 page 15, line 20 -page 16, line 9 page 25, line 4 - line 5 page 27, line 6 - line 21 page 28, line 6 - line 34 page 33, line 17 -page 34, line 29 example 2 claims 1,2,6,10,18-20,36,37,42,48	1-14

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box 1.2

Present claims 1-14 relate to a method defined by reference to the parameters "abnormal platelet function", "abnormal vascular reactivity", "an isoflavonoid". The use of these parameters in the present context is considered to lead to a lack of clarity within the meaning of Article 6 PCT. It is impossible to compare the parameters the applicant has chosen to employ with what is set out in the prior art. The lack of clarity is such as to render a meaningful complete search impossible. Consequently, the search has been restricted to the isoflavonoids specifically mentioned in claim 4, and the diseases hepatic steatosis, steatohepatitis, insulin resistance, impaired glucose tolerance, syndrome X, atherosclerosis (as mentioned in example III, p.23-29) and cardiovascular disease (as mentioned in example V, p.33-34), with due regard to the general idea underlying the application.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

Information on patent family members

Im dional Application No PCT/US 00/10543

	·				'	J., <b>J</b>	00, 10545
	atent document d in search report		Publication date		atent family member(s)		Publication date
US	5855892	Α	05-01-1999	AU	6357498	3 A	01-04-1999
				CN	1212150	) A	31-03-1999
				EP	0903143	3 A	24-03-1999
				JP	1113997	3 A	25-05-1999
WO	9803084	Α	29-01-1998	AU	3887597	7 A	10-02-1998
US	5830887	Α	03-11-1998	AU	683838	3 B	27-11-1997
				AU	4052593	3 A	13-12-1993
				WO	9323069	9 A	25-11-1993
				BR	9306393		15-09-1998
				CA	213623		25-11-1993
				EP	065678		14-06-1995
				JP	750682		27-07-1995
				NO	94443!		18-11-1994
			~~~~~~~~~~	NZ 	25205	l A 	28-10-1996
JP	10101561	A	21-04-1998	JP	282938	8 B	25-11-1998
JP	9255570	A	30-09-1997	NONE			
EP	0943245	A	22-09-1999	 AU	730729	 В А	30-09-1999
				CN	122892	7 A	22-09-1999
				JP	1129289		26-10-1999
WO	0030663	A	02-06-2000	AU	151130	D A	13-06-2000